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03/15/00

Date: March 15, 2000  
Docket No.: 0054-0199P

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): BOBER, Miroslaw Z.

For: METHOD AND APPARATUS FOR MOTION VECTOR FIELD ENCODING

Enclosed are:

A specification consisting of 26 pages

06 sheet(s) of Formal drawings

An assignment of the invention

Certified copy of Priority Document(s)

Executed Declaration  Original  Photocopy

A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27

Preliminary Amendment

Information Disclosure Statement, PTO-1449 and reference(s)

Other \_\_\_\_\_

The filing fee has been calculated as shown below:

		LARGE ENTITY		SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	Fee	RATE
BASIC FEE	***** ***** *****	***** ***** *****	***** ***** *****	\$690.00 or	***** ***** *****
TOTAL CLAIMS	37 - 20 =	17	x18 = \$	306.00 or	x 9 = \$ 0.00
INDEPENDENT	10 - 3 =	7	x78 = \$	546.00 or	x 39 = \$ 0.00
MULTIPLE DEPENDENT CLAIM PRESENTED	<u>no</u>		+260 = \$ 0.00 or	+130 = \$ 0.00	
				TOTAL \$1,542.00	TOTAL \$ 0.00

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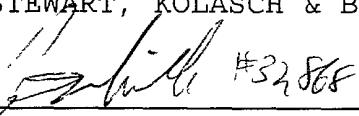
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Respectfully submitted,

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PATENT  
54-199P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: BOBER, Miroslaw Z.

Appl. No.: New Group:

Filed: March 15, 2000 Examiner:

For: METHOD AND APPARATUS FOR MOTION VECTOR  
FIELD ENCODING

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, DC 20231

March 15, 2000

Sir:

The following preliminary amendments and remarks are respectfully submitted in connection with the above-identified application.

AMENDMENTS

IN THE CLAIMS:

Please amend the claims as follows:

Claim 4: Line 1, change "any one of claims 1 to 3" to  
--claim 1--

Claim 5: Line 1, change "any one of claims 1 to 4" to  
--claim 1--

Claim 6: Line 1, change "any one of claims 1 to 5" to  
--claim 1--

Claim 7: Line 1, change "any one of claims 1 to 6" to  
--claim 1--

Claim 8: Line 1, delete "dependent on claim 6"

Claim 9: Line 1, delete "or claim 8"

Claim 10: Line 1, delete "dependent on claim 8"

Claim 11: Line 1, change "any one of claims 1 to 10" to

--claim 1--

Claim 17: Line 1, change "any one of claims 13 to 16" to  
--claim 13--

Claim 18: Line 1, change "any one of claims 13 to 17" to  
--claim 13--

Claim 26: Line 1, change "any one of claims 23 to 25" to  
--claim 23--

Claim 27: Line 1, change "any one of claims 23 to 25" to  
--claim 23--

Claim 28: Lines 2 to 3, change "any one of claims 1 to 27"  
to --claim 1--

Claim 29: Lines 2 to 3, change "any one of claims 1 to 27"  
to --claim 1--

Claim 34: Line 2, change "any of claims 31 to 33" to  
--claim 31--

Claim 35: Line 2, change "any one of claims 28, 30 or 31 to 33" to --claim 28--; and

Lines 2 to 3, delete "or claim 34"

REMARKS

The amendment to the claims is merely to delete the multiple dependencies and to place the application into better examination. Favorable action on the above-identified application is respectfully requested.

Docket No. 54-199P

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

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Method and Apparatus for Motion Vector Field Encoding

The present invention relates to a method and apparatus for encoding motion vector fields for sequences of digitised images.

5 It is well known to use the principle of motion compensation for compression of digitised motion pictures. In the majority of the known approaches, including MPEG-1, MPEG-2 and H263, motion compensation is performed using square or rectangular blocks of pixels, and a motion vector is assigned to each block. For example, it is known to compare a block of 10 pixels, for example, a 16x16 block, with blocks in a preceding reference image, usually within a limited region of the reference image. The block in the reference image which is most similar to the current block under consideration is found, using an algorithm such as minimum mean square error, and a corresponding motion vector is associated with the current block. Thus, 15 16x16 blocks in a current image are associated with a respective motion vector, as represented in Fig. 1. The motion vectors are subsequently quantized to either full-pel or sub-pel accuracy (usually half-pel or quarter-pel) and the result is usually encoded differentially.

Allocating a single motion vector to a block of pixels in an image in 20 the known manner summarised above is useful for representing translation motion. However, there are a number of disadvantages in a block-based motion compensation approach of the type discussed above that can

significantly limit the performance in prediction algorithms. For example,  
such an approach does not perform well for regions including motion, such as  
a rotation or a change in scale, which is more complex than simple  
translational movement. Also, block-based prediction is poor for non-rigid  
5 motion, such as that exhibited by clouds or humans, for example. Further, the  
block-based approach imposes motion boundaries along the blocks and may  
not accurately reflect motion boundaries within the blocks. Furthermore,  
reconstructed images may exhibit "blocky" artefacts, especially in situations  
where a motion boundary runs across a block.

10 In order to alleviate such problems, some schemes have employed  
variable block size motion compensation with parametric motion models  
(including quasi-affine and affine motion models in addition to a translation  
one). In those schemes, the size of the block used for motion compensation  
and the motion model depends on how many moving objects are present  
15 within a region and on the complexity of the motion field. Such an approach  
offers some improvements over the approach using blocks of a fixed size, but  
the improvement is limited.

The present invention provides a method and apparatus for  
representing motion in a sequence of digitized images by deriving a dense  
20 motion vector field for an image and performing vector quantization on the  
motion vector field.

In the context of this specification, the term dense in relation to a motion vector field means that for a pixel block of size 8x8 pixels there are at least two motion vectors. For example, a motion vector may be assigned to each 4x4 pixel block or each 2x2 pixel block. Preferably, a motion vector is assigned to each pixel.

As a result of the invention, an efficient representation of the motion field can be obtained for coding. A reconstructed image with improved visual quality can be obtained, as a result of better prediction using motion compensation and because the number of artefacts can be reduced. In particular, blocking artefacts can be reduced or eliminated entirely. Also, the approach lends itself to a scaleable representation of the motion field, with increased robustness to transmission errors, discussed in more detail below.

The invention also provides a method and apparatus for representing motion in a sequence of digitized images by generating and coding a plurality of versions of a motion vector field at different resolutions.

As a result of the invention, a scaleable representation of the motion field and an embedded bit stream can be created. Thus, a coarse version of the motion vector field can easily be reconstructed by decoding a part of the bit stream. This has several advantages. For example, if part of the bitstream is corrupted in transmission, a low resolution version of the motion field can still be recovered and used for motion compensation. Alternatively, some applications may only require a coarse version of the field, and thus

processing power and memory requirements can be saved by reconstructing the coarse image directly from a truncated bitstream rather than reconstructing a full version then sub-sampling it to obtain a coarse version. Such an approach may be useful, for example, in video mobile telephones.

5           The invention also provides a method and apparatus for pre-processing a motion vector field to reduce the entropy without significantly reducing the prediction error. This can be done by averaging of the adjoining motion vectors, possibly with other constraints which limit the effects that such averaging may have on the quality of motion prediction and on the  
10          preservation of motion discontinuities.

The invention also provides a method and apparatus for processing data relating to an image in a sequence of digitized images comprising which identifies where motion discontinuities occur in the image, and smoothes the motion vector field by combining adjacent motion vectors taking account of  
15          where motion discontinuities occur in the image.

As a result, a more accurate reflection of the motion vector fields can be obtained.

These and other aspects of the invention are set out in the appended claims.

20          Embodiments of the invention will be described with reference to the accompanying drawings of which:

Fig. 1 is a representation of a motion vector field according to the prior art;

Fig. 2 is a block diagram of an encoder according to an embodiment of the invention;

5 Fig. 3 is a representation of an image region showing discontinuity labels;

Fig. 4 is a block diagram of a component of the encoder shown in Fig. 2;

10 Fig. 5 is a representation of a bit stream output from the encoder shown in Fig. 2;

Fig. 6 is a block diagram of a decoder according to an embodiment of the invention; and

Fig. 7 is a block diagram of a hybrid DCT/MC codec according to an embodiment of the invention.

15 Fig. 2 is a block diagram of a multi-resolution motion vector field (MMVF) encoder according to an embodiment of the invention. The MMVF encoder as shown in Fig. 2 includes a motion estimator (ME) module 210 for generating a motion vector field and a motion discontinuity map for an image in a sequence of images input to the module. The output of the motion estimator module is connected to the input of an entropy reduction module 220, which is for processing the motion vector field using the motion discontinuity map to produce an entropy constrained motion vector field with

discontinuities. The output of the entropy reduction module 220 is connected to the input of a multi-resolution vector quantization motion field and discontinuities coding module 230, which is for producing a multi-resolution vector quantized (MMVF) representation of the motion vector field.

5           The operation of the MMVF encoder will be described in more detail below.

The motion estimator module 210 operates on a sequence of input image frames to produce dense motion vector fields, each with an associated motion discontinuity map.

10          A motion vector field for an image frame is derived using motion estimation based on the image frame and a reference frame. In this embodiment, the estimation is performed using reference frame which has been reconstructed from the coded version of the original of the reference frame and the consecutive, original, frame. As an alternative, for example,  
15          motion estimation could be performed using an original reference frame and the original consecutive frame.

The motion estimation is carried out using a variant of a known block-matching technique. A block of 16x16 pixels in the image frame is compared with blocks in the reference frame. When the closest match has been found, a  
20          corresponding motion vector is assigned to a reference pixel, which in this embodiment is the top left-hand pixel of the four centre pixels. In other embodiments, the reference pixel can be any pixel within an m x n block, but

it is preferably close to or at the centre of the block. These steps are repeated for overlapping 16x16 blocks in the image frame in order to obtain a motion vector for each pixel in the image, and consequently a motion vector field for the whole image. Although the motion vector field produced in this manner 5 may still exhibit a block-like structure, an accurate dense motion-vector field is recovered in the entropy reduction module 220. Other methods for obtaining a motion vector for a pixel, such as pel-recursive techniques or gradient-based methods can be used, as described in "Digital Pictures - Representation, Compression and Standards" by A. Netravali and B.G. 10 Haskell, Plenum Publishing 1995.

The motion estimator module 210 also generates a motion discontinuity map reflecting motion discontinuities in the image frame. In general, motion discontinuities are located in between pixels, and so each pixel has four discontinuity labels 611, 612, 613, 614 associated with it, one 15 for each of the top, bottom, right and left sides, as shown in Fig. 3. Each discontinuity label is shared between two adjacent pixels. For example, the right discontinuity label 612 for pixel 600 is the left discontinuity label 612 for the pixel 602 neighbouring pixel 600 on the right.

Motion discontinuities in the image are identified using a suitable 20 technique, such as the technique described in the paper "Robust Motion Analysis" by M. Bober and J. Kittler, CVPR, 1994, pp 947-952. Briefly, statistical analysis is used to estimate the spread of residual errors in a block

with respect to the closest matching block in the previous frame. Pixels having a residual error outside an acceptable range are treated as belonging to a different motion region from those in the rest of the block. The boundaries between different motion regions are the motion discontinuities.

5           The motion vector field and the motion discontinuity map derived by the motion estimator module 210 are input to the entropy reduction module 220. The entropy reduction module 220 processes the motion vector field estimated in the motion estimator module 210, taking into account the motion discontinuity labels to preserve the motion boundaries, to produce an entropy-  
10 constrained motion vector field. The process is based on a pel-recursive technique, and is discussed in more detail below.

The processing for a single pixel in the image will be described for a single pixel with reference to Fig. 3. The central pixel 600 and its four neighbours: top, left, right and bottom 601, 602, 603, 604 are considered. In  
15 addition, the four motion discontinuity labels 611, 612, 613, 614 for the central pixel 600 are considered. Each pixel has a motion vector associated with it, say pixel 600 has a motion vector V<sub>600</sub>, and so on. After processing, a new value of the motion vector V<sub>600</sub> is calculated for the central pixel.

The processing in this embodiment is based on taking a weighted  
20 average of the motion vectors of the centre pixel 600 and the motion vectors of those neighbouring pixels, 601, 602, 603, 604 which are not separated from the centre pixel by an active discontinuity label. More specifically,

$$V600x(i+1) = [(k * V600x(i)) + V601x(i) + V602x(i) + V603x(i) + V604x(i)]/(k+4)$$

and

$$V600y(i+1) = [(k * V600y(i)) + V601y(i) + V602y(i) + V603y(i) + V604y(i)]/(k+4)$$

5 where  $V60nx(i)$  and  $V60ny(i)$  are the motion vector components assigned to pixels 60n in the ith iteration, and k is a constant greater than or equal to zero.

If one or more of the neighbouring pixels is separated from the centre pixel by an active motion boundary, it is omitted from the calculation, with 10 adjustment of the denominator accordingly. For example, supposing the motion discontinuity label 611 is active, then  $V600x(i+1)$  is calculated as:

$$V600x(i+1) = [(k * V600x(i)) + V602x(i) + V603x(i) + V604x(i)]/(k+3)$$

In an alternative embodiment, processing is again based on an average, 15 but the prediction error is also taken into account.

More specifically,

$$V600x(i+1) = [(V601x(i) + V602x(i) + V603x(i) + V604x(i))/4] - PE/(m + \nabla^2 I) * \nabla x I$$

$$V600y(i+1) = [(V601y(i) + V602y(i) + V603y(i) + V604y(i))/4] - PE/((m + \nabla^2 I) * \nabla y I)$$

Here, PE is the prediction error, that is the difference in luminance values between the pixel 600 and the pixel in the reference frame after displacement using the motion vector calculated in iteration i, that is V600 (i), where  $V600(i) = (V600x(i), V600y(i))$ .  $\nabla xI$  and  $\nabla yI$  are the components of the image intensity gradient for pixel 600. The image gradient in this embodiment is calculated based on the luminance values of the centre pixel and a neighbouring pixel. In particular,  $\nabla xI = I_{602} - I_{600}$  and  $\nabla yI = I_{601} - I_{600}$ , where  $I_{60n}$  represents the luminance value of pixel 60n. The image gradient can be calculated in other ways using neighbouring pixels. For example,  $\nabla xI$  and  $\nabla yI$  can be calculated as  $\nabla xI = \frac{I_{602} - I_{604}}{2}$  and  $\nabla yI = \frac{I_{601} - I_{603}}{2}$ .

$\nabla^2I = (\nabla xI)^2 + (\nabla yI)^2$ , and m is a constant greater than 0. In this alternative embodiment,  $m = 100$ . Again, if one of the neighbouring pixels is separated by an active motion boundary, it is omitted from the calculation. Thus, supposing motion discontinuity label 611 is active, then

$$15 \quad V600x(i+1) = [(V602x(i) + V603x(i) + V604x(i))/3] \\ - PE / ((m + \nabla^2I) * \nabla xI)$$

In each of the alternative processing methods described above, the processing is performed for all the pixels in the image, and a number of iterations, or passes, are performed. The order in which the pixels are processed in a single pass does not significantly influence results. In these embodiments, 5-10 iterations are performed, although the optimal number of

iterations in other embodiments will depend on the type of motion estimator used.

As a result of the processing described above, a smoothed, entropy constrained, version of the motion vector field is obtained, with the motion discontinuities being preserved. By taking account of the motion discontinuity labels, and the prediction error in the second processing method described above, the smoothing is only performed in the areas where it does not reduce the efficiency of the motion compensation prediction. An example representation of a region of a motion vector field with motion discontinuities as output by the entropy reduction module 200 is shown, indicated as 225, in Fig. 2, where the circles represent pixels, the arrows represent motion vectors and the line between pixels represents a motion discontinuity.

The entropy constrained motion vector field with motion discontinuities produced by the entropy reduction module 220 is input to the multi-resolution motion vector field quantization (MMVFQ) and discontinuities coding module 230.

Fig. 4 shows the MMVFQ and discontinuities coding module in more detail.

Referring to Fig. 4, the motion vector field obtained from the entropy-reduction module 220 is input into a motion field pyramid module 310, which produces a set of  $n+1$  motion fields which are versions of the original motion vector field at descending spatial resolutions. The  $n+1$  motion fields have

image resolutions  $s_0$  to  $s_n$ , where the motion field at the original image resolution has resolution  $s_n$  and the coarsest resolution motion field has a resolution  $s_0$ . A motion field of resolution  $s_m$  is obtained by low-pass filtering and sub-sampling of the higher resolution motion of resolution  $s_{(m+1)}$ . This process is carried out  $n$  times starting from the original motion field to produce the  $n+1$  fields, called a pyramid of motion vector fields.

According to this embodiment, the sub-sampling factor  $k$  is 2, and the low pass filtering process averages the  $v_x$  and  $v_y$  values within a  $2 \times 2$  block. The average values  $v_x\_aver$  and  $v_y\_aver$  are then taken to represent the motion of the block at the coarser resolution. However, various sub-sampling factors  $k$  ( $k > 1$ ) and various low-pass filters can be used.

The pyramid of motion fields is then processed. The motion field at resolution  $s_0$  is encoded by a vector quantization (VQ) encoder 330a using a codebook  $c_0$ . The output from the VQ encoder 330a goes to a module 380a for entropy encoding to form a representation of the motion field at the coarsest resolution  $s_0$ , and to a VQ decoder 360a. The VQ decoder 360a uses the codebook  $c_0$  to reconstruct the coarse motion field, which is then passed to an up-sampling module 340a where the resolution of the motion field is increased by a factor of  $k$ . A differential module 350a calculates the difference between the motion field at resolution  $s_1$  and the up-sampled reconstructed motion field obtained from the field of resolution  $s_0$ . The residual error motion field at resolution  $s_1$  so obtained is output from the

differential module 350a to be processed by the VQ encoder 330b using a codebook c1. The steps described above are repeated recursively at increasing resolutions until the motion fields at all resolutions up to the original resolution have been processed, and  $n+1$  component representations of the  
5 original motion vector field are obtained.

The vector quantization mentioned above is carried out on each of the motion vector fields in the encoding modules 330a - 330n. The vector quantization is analogous to that described in "Scalable image coding using Gaussian pyramid vector quantization with resolution-independent block size"  
10 by L. Cieplinski and M. Bober, Proceedings IEEE International conference on Acoustics, Speech and Signal Processing, 1997, vol. 4, pp 2949-2952, where vector quantization is described in relation to the luminance values of a still picture. The contents of that paper are incorporated herein by reference.

The vectors to which vector quantization is applied at each resolution  
15 can be formed in one of the following ways:

- 1) By grouping  $\sigma$  ( $\sigma > 1$ ) component velocities from non-overlapping regions in the velocity component fields  $Vx$  and  $Vy$  independently. The regions should have identical shape and should cover altogether the entire velocity field. For each region, two  $\sigma$ -dimensional  
20 vectors are formed  $VQx = (vx_1, \dots, vx_i, \dots, vx_\sigma)$ ,  $VQy = (vy_1, \dots, vy_i, \dots, vy_\sigma)$ , where  $vx_i$ ,  $vy_i$  are the x and y velocity components of the pixel  $i$  within the block.

2) By performing a transformation on the vector field  $V$ , before forming the vectors as above. The purpose of the transformation is to make the component fields statistically independent, or to reduce their correlation in order to improve coding performance. For example, each vector  $v = (vx, vy)$  at each pixel location within the image may be transformed into log-polar representation  $vp = (vpr, vpa)$ , where components  $vpa, vpr$  are defined as:

$$vpr = \text{square root } (vx*vx + vy*vy)$$

$$vpa = \text{arc tangent } (vy/vx), \text{ if } vx \neq 0.$$

$$\Pi/2, \text{ if } vx = 0 \text{ and } vy > 0$$

$$-\Pi/2, \text{ if } vx = 0 \text{ and } vy < 0.$$

For each region, two o-dimensional vectors will be formed  $VQr = (vpr1, \dots, vprj, \dots, vpro)$ ,  $VQa = (vpa1, \dots, vpaj, \dots, vpao)$ , where  $vprj, vpaj$  are obtained from the transformation outlined above.

3) The component vectors  $VQx, VQy$ , as defined in 2), can be grouped together to form the vector  $VQ = (VQx, VQy)$  or  $VQ = (VQr, VQa)$ , and  $VQ$  can be quantized. Naturally, the  $VQ$  vector formed in that way has the dimension  $2o$ .

In this embodiment method 2) above is used with rectangular blocks of  $2 \times 2$  pixels, so that  $VQ$  is performed on 4 dimensional vectors.

20 The component representations 370 ... 37n of the motion vector field output from the entropy coders 380a - 380n are combined into an embedded

representation 260, as shown, for example, in Fig. 5, which shows the component representations at the different resolutions separated by separators.

A decoder 500 for decoding the embedded representation of the motion field to reconstruct the motion fields at different resolutions and the original motion vector field is shown in Fig. 6. The reconstruction starts from the coarsest resolution representation. It is decoded by an entropy decoder 510a and passed to a VQ decoder 515a, where it is decoded using the codebook c0 to obtain the reconstructed motion field at resolution s0. The reconstructed motion field at resolution s0 is then up-sampled by up-sampling module 525a, in the same way as in the encoder, to obtain an approximation of the field at resolution s1. The residual error for the motion field at resolution s1 is then reconstructed in a similar way. The residual error for resolution s1 and the field up-sampled from the field at resolution s0 are added together in a summation module to create a reconstructed motion field at resolution s1. The process is repeated at each finer resolution until the original resolution motion field is obtained. However, the process can be stopped at any resolution before the original resolution if desired.

Fig. 7 shows an hybrid DCT/MC codec for coding and decoding video image data according to an embodiment of the present invention.

The coder side comprises a DCT module 710 for performing discrete cosine transforms on the input image data. The DCT module 710 is connected to a adaptable quantizer 720 for performing adaptive quantization

on the DCT coefficients output from the DCT module. The quantizer 720 is controlled by a rate controller 730. The output of the quantizer 720 is connected to a variable length coder 740 and an inverse quantizer 750. The output of the inverse quantizer is connected to an inverse DCT module 760 for reconstructing a version of the original frame. The output of the DCT module 760 is connected to a plurality of frame stores 770. The outputs of the frame stores 770 are connected to a multi-resolution motion vector field (MMVF) coder 780, for deriving and encoding a motion vector field. The MMVF encoder 780 is connected to an advanced motion compensation module 790, for performing motion compensation in a known manner. The MMVF coder 780 also outputs data representing a coded motion vector field to the variable length coder 740, and the output of the variable length coder 740 is connected to a buffer 800. The buffer 800 is used to adjust the rate controller 730, and the stored data is output for transmission or recording on a recording medium.

Corresponding components are provided in the decoder, including a buffer 810, a variable length decoder 820, an inverse quantizer 830, an inverse DCT module 840, an advanced motion compensation module 850, frame stores 860, an MMVF decoder 870.

The coder and decoder operate essentially in a known manner for coding the video image data, apart from the coding and decoding of the motion vector field using the MMVF coder 780 and decoder 870. The MMVF coder 780 and decoder 870 operate essentially as described above.

However, here the variable length coder 740 and decoder 820 perform the entropy coding and decoding in place of the entropy coders 380a - 380n and decoders 515a - 515n as described above. It is possible to use a single variable length coder 940 in place of the n entropy coders 380a - 380n, employing different look-up tables for the image data at different resolutions, and likewise for the decoder 820.

The efficiency (based on the average number of bits per pixel) of the coding as described above can be similar to or better than for known methods. Although the allocation of a motion vector to each pixel increases the amount 10 of motion information, this is reduced in subsequent processing, that is, in the entropy reduction processing and the vector quantization. The average number of bits per pixel will, of course, depend on the nature of data being coded.

In the embodiment of the invention described above, a motion discontinuity map is derived and used in subsequent processing, but it is not essential to take account of motion discontinuities. Instead of deriving a motion vector for each pixel, as in the described embodiment, the invention is also applicable to an approach where a motion vector can be assigned to a group of pixels, such as a 2x2 or 4x4 pixel block, for example. An important 20 feature however is that the resulting motion vector field is dense.

The invention is particularly useful for applications dealing with sequences of images when one or more of the following conditions apply:

- i) channel bandwidth is limited
- ii) the risk of corruption of data is high, or
- iii) the user may benefit from obtaining a low-resolution version of the motion data.

5 Motion information derived and represented in accordance with the invention can be stored in database and used for search and browse purpose. For example, a person looking for a sequence of particular type of movement can first obtain coarse motion information from that database (storing motion vector information obtained in accordance with the present invention), and  
10 then retrieve a selected sequence at original resolution.

Claims

1. A method of representing motion in a sequence of digitized images comprising deriving a dense motion vector field for an image and performing vector quantization on the motion vector field.
2. A method as claimed in claim 1 wherein a motion vector is derived for pixel blocks sized less than an 8x8 pixel block.
3. A method as claimed in claim 1 wherein a motion vector is derived for each pixel.
4. A method as claimed in any one of claims 1 to 3 wherein vector quantization is performed on the components of the motion vectors separately.
5. A method as claimed in any one of claims 1 to 4 comprising performing variable length coding after vector quantization.
6. A method as claimed in any one of claims 1 to 5 comprising identifying where motion discontinuities occur in the image.

7. A method as claimed in any one of claims 1 to 6 comprising processing the motion vector field to reduce the entropy of the vector field before vector quantization.

5 8. A method as claimed in claim 7 dependent on claim 6 wherein the motion discontinuities are used in the entropy-reduction processing.

9. A method as claimed in claim 7 or claim 8 wherein entropy-reduction is performed by averaging neighbouring motion vectors.

10 10. A method as claimed in claim 9 dependent on claim 8 wherein a motion vector separated by a motion discontinuity is not used in the averaging.

15 11. A method as claimed in any one of claims 1 to 10 comprising generating and encoding a plurality of versions of a motion vector field at different resolutions.

12. A method as claimed in claim 11 comprising sub-sampling the motion vector field to produce sub-sampled versions of the field at a first, coarse, resolution and at a second, finer, resolution, coding the coarse resolution motion vector field, comparing the coarse resolution field with the

finer resolution field to produce a residual error, and coding the residual error at the finer resolution.

13. A method of processing data relating to an image in a sequence  
5 of digitized images comprising deriving a motion vector field for the image and smoothing the motion vector field by replacing a given motion vector by a new motion vector derived using averaging based on adjoining motion vectors, the method further comprising identifying where motion discontinuities occur in the image and omitting a motion vector or vectors  
10 from the averaging if they are separated from the given motion vector by a motion discontinuity.

14. A method as claimed in claim 13 wherein the new motion vector is derived using a prediction error.

15. A method of processing data relating to an image in a sequence  
of digitized images comprising deriving a motion vector field for the image and smoothing the motion vector field by replacing a given motion vector by a new motion vector derived using averaging based on adjoining motion  
20 vectors and on a prediction error.

16. A method as claimed in claim 15 comprising identifying where motion discontinuities occur in the image and omitting a motion vector or vectors from the averaging if they are separated from the given motion vectors by a motion discontinuity.

5

17. A method as claimed in any one of claims 13 to 16 wherein a motion vector is derived for each pixel.

18. A method as claimed in any one of claims 13 to 17 wherein the averaging takes account of the given motion vector.

19. A method as claimed in claim 18 using a weighted average.

20. A method of processing data relating to an image in a sequence 15 of digitized images comprising deriving a motion vector field for the image, identifying where motion discontinuities occur in the image, and smoothing the motion vector field by combining adjacent motion vectors taking account of where motion discontinuities occur in the image.

21. A method as claimed in claim 20 wherein a motion vector is derived for each pixel.

22. A method of processing an image comprising deriving motion discontinuities representing motion boundaries in a motion vector field and adjusting motion vectors within each boundary on the basis of other motion vectors within that boundary.

5

23. A method of representing motion in a sequence of digitized images comprising generating and coding a plurality of versions of a motion vector field at different resolutions.

10

24. A method as claimed in claim 23 comprising sub-sampling the motion vector field to produce sub-sampled versions of the field at a first, coarse, resolution and at a second, finer, resolution, coding the coarse resolution version of the motion vector field, comparing the coarse resolution field with the finer resolution field to produce a residual error, and coding the residual error at the finer resolution.

15

25. A method as claimed in claim 24 comprising producing and coding a sequence of residual errors at increasing resolutions.

20

26. A method as claimed in any one of claims 23 to 25 comprising multiplexing the coded motion vector field versions at different resolutions into an embedded bit stream.

27. A method as claimed in any one of claims 23 to 25 wherein said coding is performed using vector quantization.

5        28. An encoder for encoding motion information for a sequence of digitized images according to a method as claimed in any one of claims 1 to  
27.

10      29. A decoder for decoding motion information for a sequence of digitized images encoded according to a method as claimed in any one of claims 1 to 27.

15      30. An encoder for encoding motion information for a sequence of digitized images comprising a motion estimator for deriving a dense motion vector field for an image and a vector quantizer for coding the motion vector field.

20      31. Apparatus for representing motion in a sequence of digitized images comprising means for generating and coding a plurality of versions of a motion vector field at different resolutions.

32. Apparatus as claimed in claim 31 comprising means for sub-sampling the motion vector field to produce sub-sampled versions of the field at a first, coarse, resolution and at a second, finer, resolution, means for comparing the coarse resolution field with the finer resolution field to produce 5 a residual error, and means for coding the coarse resolution motion vector field and the residual error at the finer resolution.

33. Apparatus as claimed in claim 32 comprising means for producing and coding a sequence of residual errors at increasing resolutions.

10

34. A decoder for decoding information encoded using an apparatus as claimed in any of claims 31 to 33, the decoder comprising means for reinstating a version of the motion vector field at a desired resolution.

15

35. A hybrid DCT-MC codec comprising an encoder according to any one of claims 28, 30 or 31 to 33 and a decoder according to claim 29 or claim 34.

20

36. An encoder substantially as hereinbefore described and as shown in the accompanying drawings.

37. A method for encoding motion information substantially as hereinbefore described with reference to the accompanying drawings.

## ABSTRACT

Method and Apparatus for Motion Vector Field Encoding

A method and apparatus for representing motion in a sequence of  
5 digitized images derives a dense motion vector field and vector quantizes the  
motion vector field.

Fig. 2

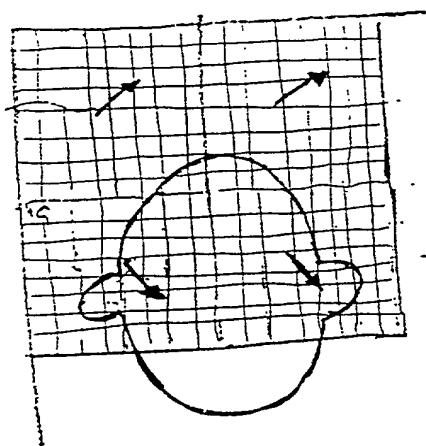


Fig. 1

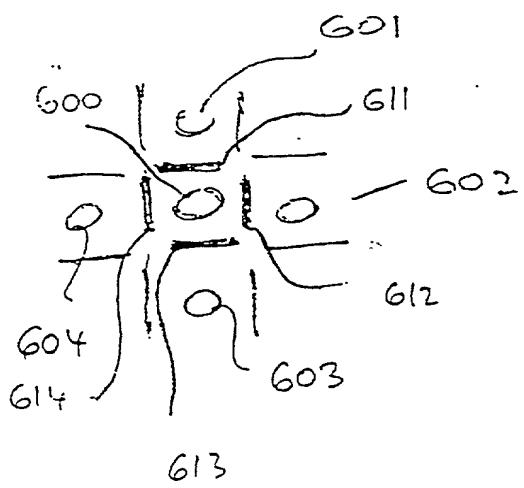


Fig. 3.

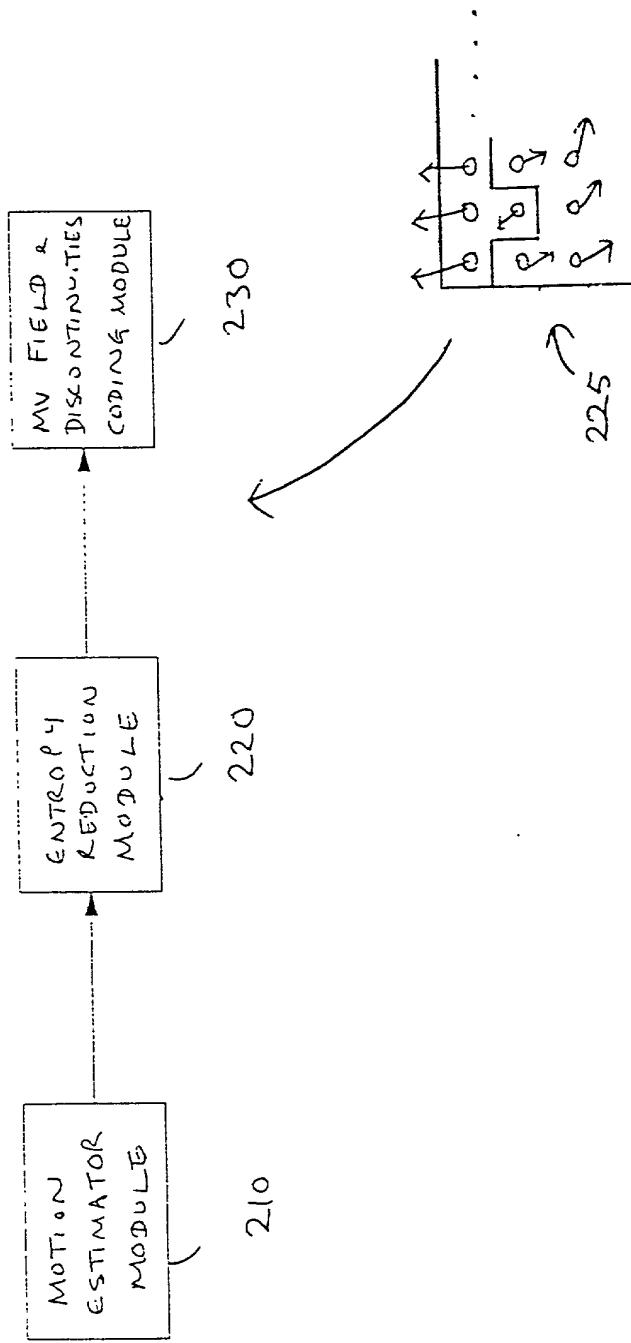
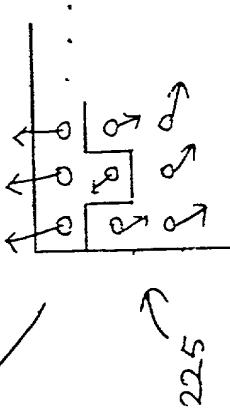
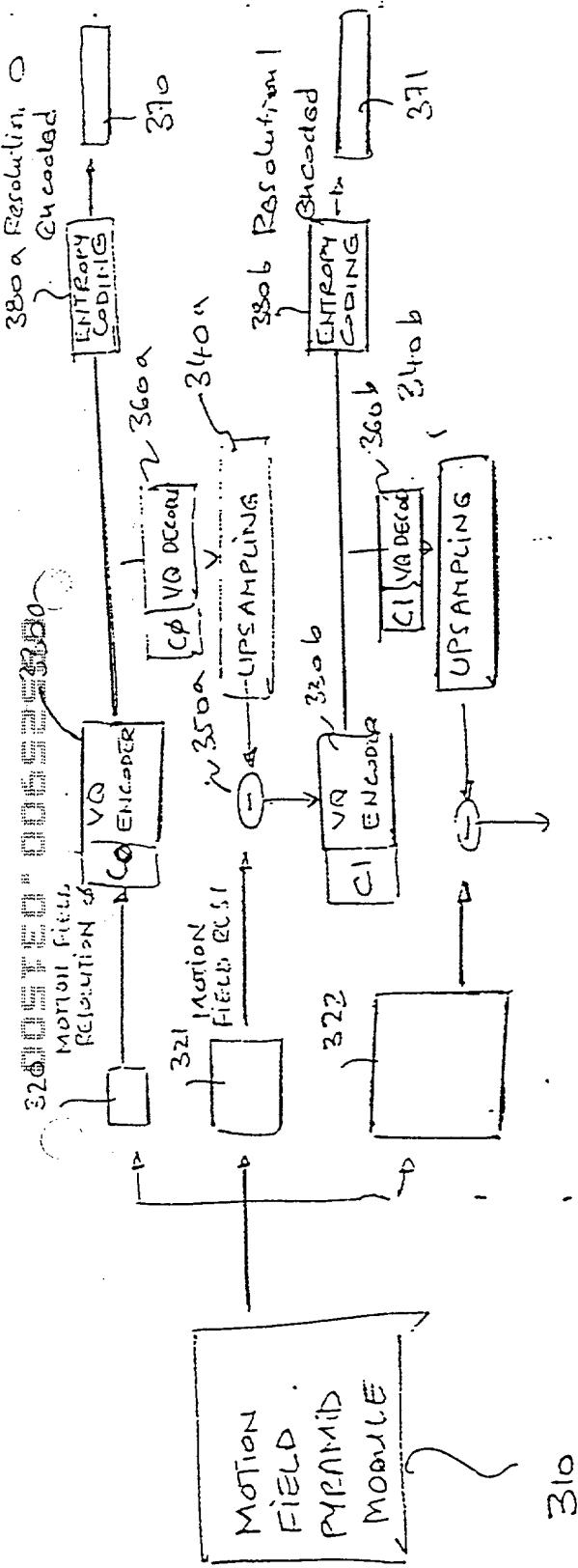
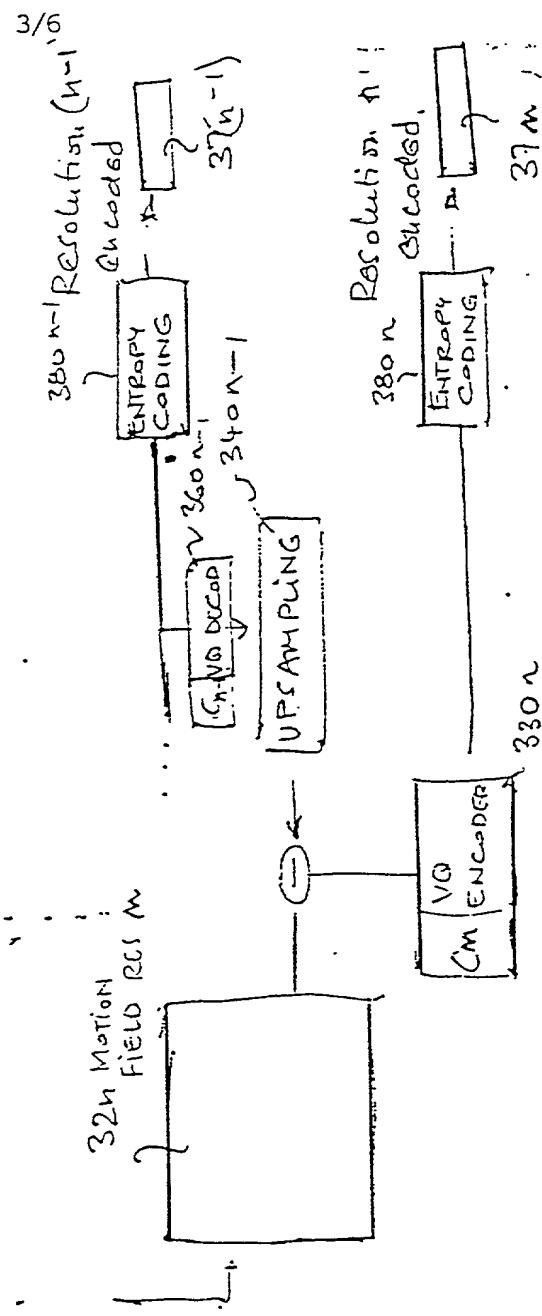


Fig 2





310



37m 1

FIGURE 4

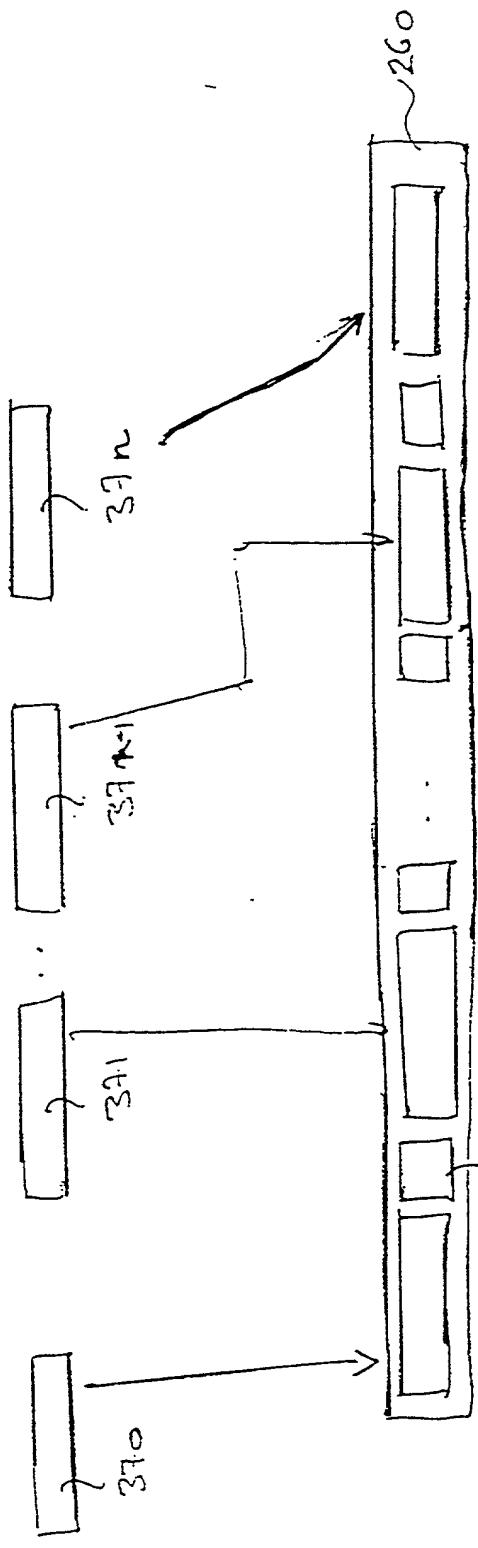


FIGURE 5

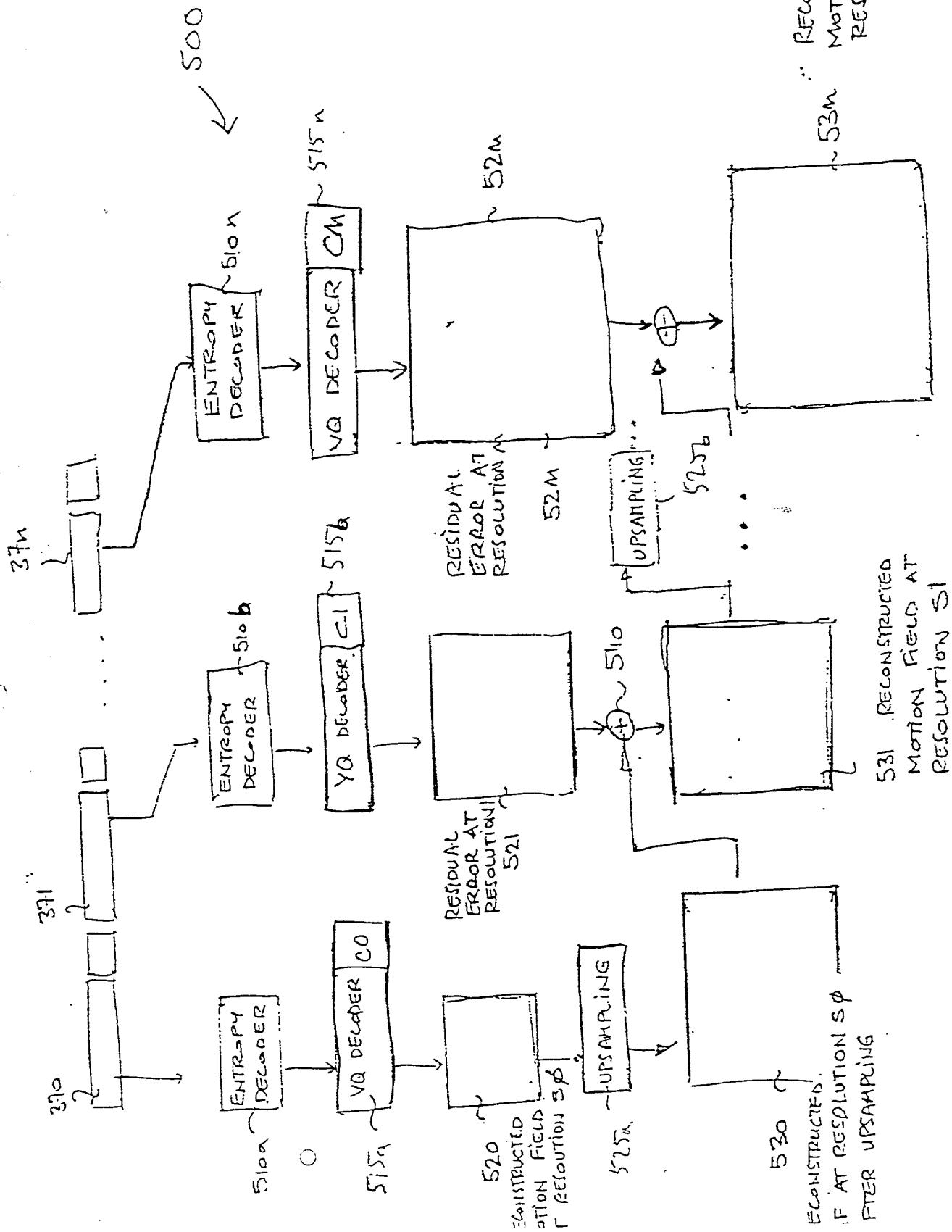


FIGURE 6

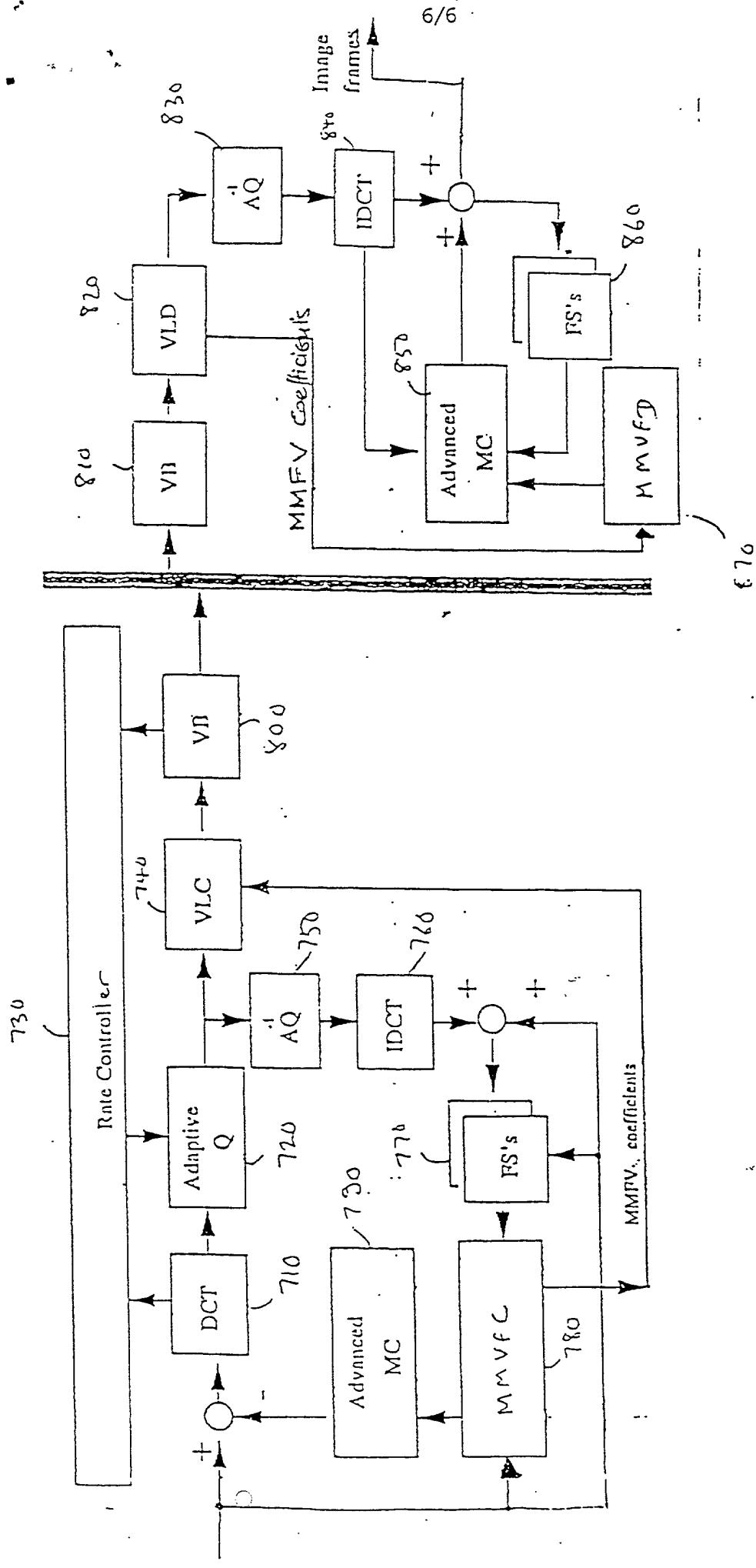


Fig. 7

# Declaration and Power of Attorney For Patent Application

## 特許出願宣言書及び委任状

### Japanese Language Declaration

### 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者（下記の名称が複数の場合）であると信じています。

上記発明の明細書は、

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- \_\_\_\_月\_\_\_\_日に提出され、米国出願番号または特許協定条約国際出願番号を\_\_\_\_\_とし、  
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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.

METHOD AND APPARATUS FOR MOTION

VECTOR FIELD ENCODING

the specification of which

- is attached hereto.
- was filed on \_\_\_\_\_  
as United States Application Number or  
PCT International Application Number  
\_\_\_\_\_ and was amended on  
\_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Japanese Language Declaration  
(日本語宣言書)

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Prior Foreign Application(s)

外国での先行出願

<u>9906034.5</u>	<u>United Kingdom</u>
(Number) (番号)	(Country) (国名)
<u>                  </u>	<u>                  </u>
(Number) (番号)	(Country) (国名)

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<u>(Application No.)</u> (出願番号)	<u>(Filing Date)</u> (出願日)
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<u>(Application No.)</u> (出願番号)	<u>(Filing Date)</u> (出願日)
<u>(Application No.)</u> (出願番号)	<u>(Filing Date)</u> (出願日)

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Priority Claimed 優先権主張	
<u>16 / 03 / 1999</u>	<input checked="" type="checkbox"/> <input type="checkbox"/>
(Day/Month/Year Filed) (出願年月日)	Yes <input type="checkbox"/> No <input type="checkbox"/> はい いいえ
<u>                  </u>	<input type="checkbox"/> <input checked="" type="checkbox"/>
(Day/Month/Year Filed) (出願年月日)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> はい いいえ

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<u>(Application No.)</u> (出願番号)	<u>(Filing Date)</u> (出願日)
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<u>(Status: Patented, Pending, Abandoned)</u> (現況 : 特許許可済、係属中、放棄済)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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ANDREW D. MEIKLE (Reg. No. 32,858)	C. JOSEPH FARACI (Reg. No. 32,350)

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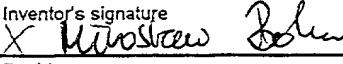
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(Supply similar information and signature for third and subsequent joint inventors.)